

Receipt #2
Patent
254/254



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Title Application of:

Alok K. Srivastava et al.

Serial No.: 09/872,647

Filed: May 31, 2001

For: METHOD AND MECHANISM FOR
DIAGNOSING COMPUTER
APPLICATIONS USING TRACES

)
) Group Art Unit: 2184

)
) Examiner: Unknown

REQUEST FOR CORRECTED FILING RECEIPT

Box Missing Parts
Commissioner of Patents
Washington, D.C. 20231

Sir:

Applicant respectfully requests that a Corrected Filing Receipt be issued for the above-identified patent application. The application was filed on May 31, 2001, by Express Mail with a Mailing Label No. EL846343437US, as shown by a photocopy of the post office receipt attached as Exhibit A. However, the mailroom of the United States Patent and Trademark Office received-stamped the postcard accompanying the application June 1, 2000. Exhibit B is a photocopy of this

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

August 27, 2001
Date of Deposit

Cindy McMorrow

Name of Person Mailing Paper

Signature of Person Mailing Paper

returned postcard. This error was subsequently carried to the Filing Receipt. In Exhibit C, please find a photocopy of the Filing Receipt with a change noted thereon.

According to 37 C.F.R. § 1.10(a), any correspondence received by the Patent and Trademark Office that was delivered by Express Mail will be considered filed on the date of deposit with the United States Postal Service. The date of deposit with the Postal Service is shown by the box marked "date-in" on the Express Mail mailing label. Here, the "date-in" box indicates that the above-mentioned application was filed on May 31, 2001. As such, Applicant respectfully requests that a Corrected Filing Receipt be issued for the application indicating the filing date as May 31, 2001.

Applicant believes that no fee is necessary at this time. However, if any fees are associated with this Request, the Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Lyon & Lyon's Deposit Account No. 12-2475.

Respectfully submitted,

LYON & LYON LLP

Dated: 8-26-07

By: 

Peter C. Mei
Reg. No. 39,768

633 West Fifth Street, Suite 4700
Los Angeles, California 90071-2066
(408) 993-1555



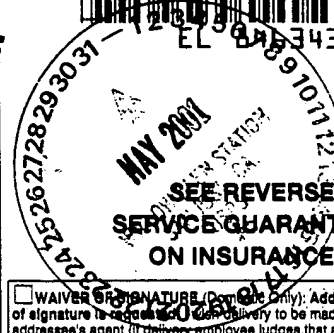
POST OFFICE TO ADDRESSEE



EL 9848343437 US

ORIGIN (POSTAL USE ONLY)

PO ZIP Code 95110	Day of Delivery <input type="checkbox"/> Next <input checked="" type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>
Date In 5/31/01 Mo. Day Year	<input type="checkbox"/> 12 Noon <input checked="" type="checkbox"/> 3 PM	Postage \$ 16.00
Time In 8 AM <input checked="" type="checkbox"/> PM	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee
Weight 10 ozs.	Int'l Alpha Country Code	COD Fee Insurance Fee
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials C	Total Postage & Fees \$ 16.00



☐ WAIVER OF SIGNATURE (Postage Only): Additional merchandise insurance is void if waiver of signature is requested. Delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.

NO DELIVERY ☐ Weekend ☐ Holiday Customer Signature

CUSTOMER USE ONLY

METHOD OF PAYMENT: 951232
Express Mail Corporate Acct. No.

Federal Agency Acct. No. or
Postal Service Acct. No.

FROM: (PLEASE PRINT)

PHONE ()

LYON & LYON LLP
333 N SAN CARLOS ST STE 800
SAN JOSE CA 95110-2711

TO: (PLEASE PRINT)

PHONE ()

ASSISTANT COMMISSIONER OF
PATENTS
WASHINGTON DC 20231-0001

Rem/Car Crack 254/254

Box Patent Application

FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.com



Customer Copy
Label 11-F August 2000

F02
11K

J1000 U.S. PTO
09/872647
05/31/01

Please acknowledge receipt of the following by affixing hereon the Patent and Trademark Office date stamp and returning this card to our office.

Applicant: Alok K. Srivastava and Surojit Chatterjee
Serial No.: Not Yet Assigned
For: METHOD AND MECHANISM FOR DIAGNOSING COMPUTER APPLICATIONS USING TRACES
Filed: May 31, 2001

NEW PATENT APPLICATION TRANSMITTAL UTILITY

Attorney(s): Peter C. Mei
Docket No.: 254/254
Date of Deposit: May 31, 2001
Express Mail No. EL8463437US
Enclosure(s): (3pgs) Transmittal Letter; (1pg) Request and Certification; (24pgs) written description; (4pgs) claims; (1pg) Abstract; (6shts) drawings; (2pgs) Declaration; (1pg) POA; (1pg) Assignment Recordation Cover Sheet; (2pgs) Assignment; check nos. 14086 & 4002; and return receipt postcard.

J1000 U.S. PTO

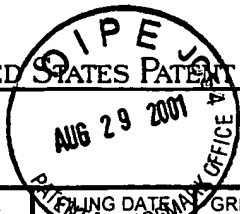
09/872647



05/31/01



UNITED STATES PATENT AND TRADEMARK OFFICE


 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/872,647	06/01/2001 5/31/2001	2184	1006	254/254	6	32	4

CONFIRMATION NO. 1722

FILING RECEIPT



OC000000006379803

 22249
 LYON & LYON LLP
 SUITE 4700
 633 WEST FIFTH STREET
 LOS ANGELES, CA 90071-2066

Date Mailed: 08/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

 Alok K. Srivastava, Newark, CA;
 Surojit Chatterjee, Mountain View, CA;

Assignment For Published Patent Application

Oracle Corporation;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/02/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Method and mechanism for diagnosing computer applications using traces

Preliminary Class

 RECEIVED
 AUG - 6 2001
 U.S. PROSECUTION

Date: 08/03/2001

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231